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NOTICE OF ALLOWANCE AND FEE(S) DUE

72960 7590 02/06/2012 Casimir Jones, S.C. 2275 DEMING WAY, SUITE 310 MIDDLETON, WI 53562 EXAMINER

HA, JULIE

ART UNIT PAPER NUMBER

1654

DATE MAILED: 02/06/2012

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
•	10/593.407	01/29/2007	David P. Fairlie	DCC-32231/US-1/PCT	1245	

TITLE OF INVENTION: ALPHA HELICAL MIMICS, THEIR USES AND METHODS FOR THEIR PRODUCTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	05/07/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
ASSUE FEE

or <u>Fax</u> (571)-273-2885

appropriate. All further indicated unless corrects maintenance fee notifica	ed below or directed oth	or transmitting the 1880 of the Patent, advance of the Patent, advance of the patents in Block 1, by (a	rders and notification of n a) specifying a new corres	naintenance fees w pondence address;	ill be n and/or	nailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	Fee(s) Transmittal. This rs. Each additional	s certifi	cate cannot be used fo	domestic mailings of the or any other accompanying t or formal drawing, must
Casimir Jones, 2275 DEMING MIDDLETON,	WAY, SUITE 310	72012	I her State addr trans	eby certify that thi	s Fee(s)	of Mailing or Transn) Transmittal is being icient postage for first SSUE FEE address) 273-2885, on the dat	nission deposited with the United class mail in an envelope above, or being facsimile e indicated below.
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
10/593,407	01/29/2007		David P. Fairlie		DCC-	32231/US-1/PCT	1245
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nonprovisional	NO	\$1740	\$300	\$0	\$2040		05/07/2012
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
HA, J	UL1E	1654	530-317000				
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A PLEASE NOTE: Unl	ND RESIDENCE DATA ess an assignee is ident h in 37 CFR 3.11. Comp	"Indication form ed. Use of a Customer A TO BE PRINTED ON Tified below, no assignee	(1) the names of up to or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attornisted, no name will be THE PATENT (print or type data will appear on the patent at the patent as substitute for filing and (B) RESIDENCE: (CITY)	e firm (having as a gent) and the name neys or agents. If r printed. e) tent. If an assigner assignment.	membees of up no name	r a 2to bis 3entified below, the do	cument has been filed for
4a. The following fee(s): 1ssue Fee Publication Fee (N	are submitted:	permitted)	b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit care	se first reapply an	y previ	ously paid issue fee s	·
Advance Order - #	of Copies		The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
5. Change in Entity Stat	tus (from status indicated s SMALL ENTITY statu	, , , , , , , , , , , , , , , , , , ,	☐ b. Applicant is no long				
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be accepte	d from anyone other than th				
interest as shown by the	records of the United Sta	tes Patent and Trademark	Office.				
Authorized Signature				Date			<u>.</u>
Typed or printed name				Registration N	0		
This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450. Alexandria, V	ation is required by 37 C tiality is governed by 35 I application form to the ons for reducing this but firginia 22313-1450 DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR C	on is required to obtain or r 1.14. This collection is estiv 7 depending upon the indiv the Chief Information Office COMPLETED FORMS TO	etain a benefit by th imated to take 12 n idual case. Any co r, U.S. Patent and DTHIS ADDRESS.	ne publi ninutes mments Fradem SEND	c which is to file (and to complete, including on the amount of tin ark Office, U.S. Depa TO: Commissioner fo	by the USPTO to process) gathering, preparing, and by you require to complete thment of Commerce, P.O. or Patents, P.O. Box 1450.

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72960 75	90 02/06/2012		EXAMINER			
Casimir Jones, S.		HA, JULIE				
2275 DEMING WA	AY, SUITE 310					
MIDDLETON, WI 53562			ART UNIT	PAPER NUMBER		
		1654				

DATE MAILED: 02/06/2012

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 161 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 161 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Examiner-Initiated Interview Summary	10/593,407	FAIRLIE ET AL.					
Examiner-initiated interview Summary	Examiner	Art Unit					
	JULIE HA	1654					
All participants (applicant, applicant's representative, PTO personnel):							
(1) <i>JULIE HA</i> .	(3)						
(2) <u>MARY ANN BROW</u> .	(4)						
Date of Interview: 01 February 2012.	Date of Interview: <u>01 February 2012</u> .						
Type: X Telephonic Video Conference Personal [copy given to: Applicant							
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	□ No.						
Issues Discussed 101 112 102 103 0th (For each of the checked box(es) above, please describe below the issue and deta							
Claim(s) discussed: <u>117-150,152-156 and 158-160</u> .							
Identification of prior art discussed:							
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		dentification or clarific	cation of a				
The Examiner telephoned Ms. Brow to discuss Examiner's amendment to get the application in condition for allowance. The Examiner indicated that the application was under group restriction requirement and the nonelected inventions (withdrawn claims) must be cancelled. Ms. Brow indicated that she would need to discuss this the Applicant and would get back to the Examiner. Ms. Brow telephoned the Examiner on 2/1/2012 after reviewing the restriction requirement mailed out on 8/9/2009, and approved cancellation of withdrawn claims 117-150, 152-156 and 158-160 via examiner's amendment. Examiner's amendment follows.							
Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.							
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.							
Attachment							
/Julie Ha/ Primary Examiner, Art Unit 1654							

Application No.

Applicant(s)